

# Gene Expression–Guided Deep Hybrid Models for Robust Lung Cancer Classification and Diagnosis

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## **ABSTRACT**

Lung cancer continues to be the foremost cause of cancer-related deaths globally, accounting for approximately one in five cancer fatalities each year. Despite significant progress in diagnostic imaging and molecular profiling, early detection remains a persistent challenge due to tumor heterogeneity, overlapping histopathological features, and complex molecular signatures. Gene expression analysis has emerged as a powerful tool to understand the biological mechanisms of carcinogenesis and identify potential biomarkers for precision diagnostics. However, the high dimensionality, noise, and intricate correlations inherent in gene expression datasets limit the performance of conventional statistical and machine learning models.

To address these challenges, this study introduces a Gene Expression–Guided Deep Hybrid Model (GE-DHM) that integrates Convolutional Neural Networks (CNN), Long Short-Term Memory (LSTM) networks, and Genetic Algorithms (GA) to achieve robust, biologically interpretable lung cancer classification. The proposed framework utilizes GA for optimal gene feature selection, thereby reducing redundancy and dimensionality, followed by CNN and LSTM layers to capture spatial and sequential dependencies in the selected gene profiles. By embedding gene expression guidance within the deep learning structure, the model learns biologically relevant features that enhance both predictive performance and interpretability.

Experimental validation using publicly available lung cancer gene expression datasets from The Cancer Genome Atlas (TCGA-LUAD/LUSC) demonstrated that GE-DHM outperforms traditional models, achieving a classification accuracy of 96.4%, with significant improvements in precision, recall, and F1-score metrics. Furthermore, pathway enrichment analysis revealed that top-ranked genes identified by the model were strongly associated with critical oncogenic signaling pathways, including EGFR, KRAS, and TP53, confirming the model's biological relevance.

The findings of this research highlight the potential of hybrid deep learning frameworks in integrating molecular-level insights with computational intelligence for reliable cancer diagnosis. The GE-DHM establishes a robust platform for precision oncology, paving the way for early detection, personalized treatment strategies, and enhanced clinical decision-making in lung cancer management.

KEYWORDS: Gene expression, deep learning, hybrid model, CNN, LSTM, lung cancer, genetic algorithm.

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# **INTRODUCTION**

Lung cancer represents one of the most diagnosed, deadliest cancers worldwide. Millions die each year from it [1], [2]. While advancements in imaging and further molecular diagnostics have emerged, early detection remains an elusive endeavor due to tumor heterogeneity, common histopathological findings and an absence of effective early biomarkers in screenings [3]. Alternatively, gene expression profiling is a promising high-throughput tool for understanding molecular signatures and biological pathways that facilitate tumor origin and therefore diagnosis and treatment of cancers [4].

Yet gene expression datasets are highly dimensional phenomena, thousands of genes with few clinical samples - leading to

overfitting and predictive instability when classical machine learning endeavors are applied to them [5], [6]. Furthermore, non-deep learning models fail to meaningfully assess non-linear and hierarchical components that make up biological data [7].

Thus, deep learning (DL) methods have been successfully applied to genomic data as they assess hidden patterns and interactions over thousands of variables [8]. In particular, Convolutional Neural Networks (CNN) and Recurrent Neural Networks, namely Long Short-Term Memory (LSTM) architectures have been applied to transcriptomic datasets as spatial co-expression features and sequential dependencies of gene expression necessitate both dimensional realms of understanding [9], [10], [11].

However, even with advancements in genomic data-driven DL applications, high dimensionality leads to prolonged computational time and challenges interpretability in DL frameworks [12]. Thus, evolutionary optimization methods pose a successful pre-modeling feature selection strategy where Genetic Algorithms (GA) can reduce noise and optimize effectively classifiable marker genes from prior sequenced findings [13], [14]. Dimensionality isn't always appropriate for model training and therefore, hybrid pipelines including GA for feature selection in conjunction with deep neural networks for classification benefit from integrity and findings that render interpretability for complex cancer subtypes [15], [16]. For example, GA with CNN and CNN-LSTM classifiers render improved accuracy, interpretability and phenotypically significant findings from genome-wide studies as opposed to purely classical machine learning or standalone DL models [17], [18].

Therefore, this study investigates a Gene Expression-Guided Deep Hybrid Model (GE-DHM) which combines CNN/LSTM and GA + deep learning to improve accuracy and biological interpretability of cancer classification as it relates specifically to lung cancer. The GA module performs gene selection which is subsequently fed into the CNN (co-expression) layers and LSTM (gene expression sequential/dependent relationship) to optimize findings based on the most relevant genes [19], [20]. The findings from TCGA-LUAD and TCGA-LUSC transcriptomic samples are validated through pathway enrichment to boast of findings as relevant to pathways of cancer including EGFR/KRAS/TP53 [21].

In summary, such a hybrid genomic-deep learning approach lends itself to a reliable and explainable precision oncology system [22]-[24].

## LITERATURE REVIEW

## 2.1. Gene Expression in Oncology

Gene expression profile is the molecular characterization of tumors to facilitate biomarker development, subtyping and outcome predictions [1], [2]. High throughput platforms allow for significant analysis of expression data but challenge noise dimensionality and batch effects vs. analysis downstream [4], [5].

#### 2.2. Feature Selection for Gene Expression

Due to the "large-p, small-n" problem, successful feature selection is key in genomic machine learning. Genetic Algorithms are often utilized in the space to promote biomarker selection optimization which facilitates improved accuracy for classification stabilization [13], [14], [15].

#### 2.3. Deep Learning for Transcriptomics

There is an extensive amount of literature on deep learning models for transcriptomic analysis which have championed CNNs and LSTMs in particular [8], [9], [10], [11]. CNNs are a hybrid CNN-LSTM approach show improvement in spatial-temporal interpretation of gene interactions vs. conventional ML vs. CNN or LSTM by itself for genomics relating to cancer [12], [18].

## **2.4.** Hybrid GA + Deep Learning Approaches

Numerous studies successfully report improved gene selection, accuracy and interpretability with GA-enhanced CNN or CNN-LSTM classifiers from hybridized approaches whose model results align with anticipated biological value [14], [19], [20].

#### 2.5. Lung Cancer Applications

Numerous deep hybrid models have been successfully applied to lung cancer in particular which show improvement of diagnostic performance with pathway based interpretation suggesting findings are linked to biologically relevant development and pathology for precision oncology [1], [6], [21-22]. This demonstrates the value of integrating genomics with computerized intelligence at the gene level for significance.

# MATERIALS AND METHODS

# 3.1 Dataset Description

This study utilized publicly available gene expression datasets from The Cancer Genome Atlas (TCGA) specifically, Lung Adenocarcinoma (LUAD) and Lung Squamous Cell Carcinoma (LUSC) cohorts. Together, these datasets encompass a total of 1,036 RNA-Seq samples (594 LUAD and 442 LUSC) and 59 adjacent normal tissues, each quantified as normalized gene expression counts. The raw data were downloaded in FPKM format from the Genomic Data Commons (GDC) portal.

To ensure reliability, genes with zero or near-zero expression across more than 90% of samples were removed. Data normalization was conducted using a  $\log 2$  (FPKM + 1) transformation to stabilize variance and approximate normal distribution. Additionally, quantile normalization was applied to harmonize expression distributions across samples. Batch effects arising from different sequencing centers or protocols were corrected using the ComBat function from the sva package in R. The final curated dataset contained approximately 15,000 informative genes suitable for downstream analysis.

## 3.2 Data Partitioning and Cross-Validation

The preprocessed dataset was randomly split into training (70%), validation (15%), and testing (15%) subsets, maintaining class balance between cancer subtypes and normal controls. To assess model generalizability, 10-fold cross-validation (CV) was implemented. During each CV iteration, one fold was used for testing, one for validation, and the remaining eight for training. The results were averaged across all folds to minimize bias due to random initialization or data imbalance.

## 3.3 Feature Selection using Genetic Algorithm (GA)

Given the high dimensionality of gene expression data, feature selection was essential to reduce redundancy and improve learning efficiency. A Genetic Algorithm (GA)—a stochastic optimization inspired by Darwinian natural selection—was employed to identify the most discriminative gene subset.

Each individual (chromosome) in the GA population represented a binary gene-selection vector, where "1" denoted an active (selected) gene and "0" denoted an inactive one. The fitness function is defined as a weighted combination of classification accuracy (from a shallow neural network) and gene subset compactness by following equation:

$$F = lpha imes Accuracy - eta imes rac{N_{selected}}{N_{total}}$$

Where,  $\alpha$  and  $\beta$  are tuning parameters (0.8 and 0.2, respectively). The GA employed

Population size: 80 Crossover rate: 0.8 Mutation rate: 0.02 Generations: 100

The optimization terminated either when the fitness score plateaued for 10 consecutive generations or after 100 iterations. The resulting gene subset (typically 300-500 genes) was used as the input for the deep hybrid model.

#### 3.4 Deep Hybrid Model Architecture (GE-DHM)

The GE-DHM integrates CNN and Long Short-Term Memory (LSTM) networks to capture both spatial and sequential dependencies among genes.

#### (a) CNN Module

- 1. Input Layer: Normalized gene expression vectors reshaped into 2-D pseudo-images (e.g., 120×120 grid) to simulate local co-expression structures.
- Convolution Layers: Three convolution blocks with kernel sizes of (3×3), followed by ReLU activations and maxpooling  $(2\times2)$ .
- **Output Flattening:** Feature maps were flattened and fed into the LSTM block.

The CNN module extracted local correlation patterns among adjacent gene clusters, representing co-regulated gene networks and expression motifs.

#### (b) LSTM Module

- 1. **LSTM Layers:** Two stacked LSTM layers (128 and 64 units) captured temporal dependencies between gene groups, modeling long-range gene interactions.
- **Dropout Regularization:** A dropout rate of 0.3 was applied to reduce overfitting.
- 3. **Fully Connected Layer:** Dense layer with 128 neurons and ReLU activation.

The LSTM layer provided contextual memory of gene expression trajectories, complementing CNN's spatial abstraction.

## (c) Fusion and Classification Laver

Outputs from both modules were concatenated and passed through fully connected dense layers, culminating in a Softmax classifier producing probabilities for each class (LUAD, LUSC, or normal tissue).

The complete model architecture can be summarized as follows:

| Layer | Type             | Output Shape               | Parameters | Activation |
|-------|------------------|----------------------------|------------|------------|
| 1     | Input            | (120×120×1)                | _          | _          |
| 2     | Conv2D + MaxPool | $(60 \times 60 \times 32)$ | 896        | ReLU       |
| 3     | Conv2D + MaxPool | (30×30×64)                 | 18,496     | ReLU       |
| 4     | Flatten          | (57,600)                   | _          | _          |
| 5     | LSTM             | (128)                      | 65,792     | tanh       |
| 6     | Dense            | (128)                      | 16,512     | ReLU       |
| 7     | Dropout          | _                          | _          | 0.3        |
| 8     | Output (Softmax) | (3)                        | 387        | Softmax    |

#### 3.5 Model Training

The network was implemented in Python 3.10 using Tensor Flow 2.14 and Keras frameworks. Training was conducted on an NVIDIA RTX 4090 GPU (24 GB) with the following hyper-parameters:

Optimizer: Adam Learning rate: 0.001 Batch size: 32 Epochs: 200

Loss function: Categorical Cross-Entropy

Early stopping: patience = 15 (monitored validation loss)

Data augmentation (Gaussian noise and random dropout masking) was used to improve model generalization. Batch normalization layers were included between convolutional layers to stabilize learning. Model checkpoints were saved at each epoch showing improvement in validation accuracy.

#### 3.6 Performance Evaluation Metrics

The performance of GE-DHM was compared with baseline classifiers such as Support Vector Machine (SVM), Random Forest (RF), CNN-only, and LSTM-only models. Evaluation metrics included: 1) Accuracy (ACC): Overall proportion of correctly classified samples, 2) Precision (P): Correctly predicted positives divided by all predicted positives, 3) Recall (R): Correctly predicted positives divided by all actual positives, 4) F1-Score: Harmonic mean of precision and recall, 5) Area under ROC Curve (AUC): Measures model's discrimination ability, and 6) Matthews Correlation Coefficient (MCC): Balanced measure for multiclass classification.

Each experiment was repeated five times with different random seeds, and the mean  $\pm$  standard deviation was reported. Statistical significance between models was tested using paired *t-tests* (p < 0.05).

# 3.7 Biological Pathway Validation

To ensure biological interpretability, genes selected by the GA and highly weighted by the CNN–LSTM layers were subjected to functional enrichment analysis using DAVID and KEGG databases. Significantly enriched pathways (p < 0.01, FDR < 0.05) were visualized, focusing on known lung cancer mechanisms such as EGFR, PI3K-AKT, MAPK, TP53, and KRAS signaling cascades. Gene Ontology (GO) enrichment further validated that selected genes were associated with cellular proliferation, DNA damage response, and apoptosis—hallmark processes in oncogenesis.

## 3.8 Software and Reproducibility

All analyses were performed on a Linux (Ubuntu 22.04) system using Python, R, and Tensor Flow environments. Random seeds were fixed to ensure reproducibility. The source code, preprocessed datasets, and trained models were documented and will be made available in a public repository (e.g., GitHub) upon publication, adhering to FAIR (Findable, Accessible, Interoperable, Reusable) data principles.

#### PROPOSED HYBRID FRAMEWORK

## 4.1 Overview of the Proposed Framework

The proposed GE-DHF is designed to integrate biological feature selection and deep learning–based pattern recognition to achieve accurate, interpretable, and computationally efficient lung cancer classification.

The framework synergizes three complementary modules like GA, CNN, and Long Short-Term Memory (LSTM) each responsible for a distinct analytical dimension:

- 1. GA for dimensionality reduction and biomarker selection
- 2. CNN for local spatial pattern extraction
- 3. LSTM for long-range dependency learning among gene clusters

The hybrid integration of these modules enables the model to capture both spatial correlations and temporal dependencies among genes, which are often ignored in conventional machine learning approaches.

Illustrates the architecture of the proposed hybrid system, which includes six core stages:

- 1. Data Acquisition and Preprocessing
- Feature Optimization via GA
- 3. Data Transformation into Structured Input Space
- 4. Hybrid CNN-LSTM Modeling
- 5. Model Training and Optimization
- 6. Evaluation and Biological Validation

## 4.2 Stage 1: Data Acquisition and Preprocessing

Raw RNA-Seq data from *TCGA-LUAD* and *TCGA-LUSC* cohorts were curated and preprocessed to ensure comparability across samples.

- 1. Normalization: Log2(FPKM+1) transformation
- 2. Noise Removal: Genes with low variance ( $\sigma^2 < 0.01$ ) were discarded
- 3. Batch Effect Correction: Performed using ComBat algorithm
- 4. Scaling: Z-score normalization across samples

This preprocessing ensures that expression values are standardized and suitable for both GA-based feature selection and CNN-LSTM model input.

# 4.3 Stage 2: Feature Optimization using Genetic Algorithm (GA)

Gene expression datasets typically involve tens of thousands of genes, many of which are non-informative or redundant. The GA-

based feature optimization module identifies the most discriminative genes for cancer subtype classification.

#### Mechanism:

- 1. Each individual chromosome represents a binary gene mask.
- 2. The fitness function combines classification accuracy and feature compactness:

$$F = lpha imes Accuracy - eta imes rac{N_{selected}}{N_{total}}$$

Where,  $\alpha$ =0.8 and  $\beta$ =0.2.

- 3. The GA iteratively evolves the population using selection, crossover, and mutation.
- 4. The process terminates when the fitness function converges or a predefined generation limit (100) is reached.

#### **Outcome:**

The GA typically reduces the dimensionality from ~15,000 to 300–500 genes, significantly reducing computational cost while retaining critical discriminative information. These selected genes serve as biologically meaningful biomarkers feeding into the hybrid deep network.

## **4.4 Stage 3: Gene Expression Transformation**

Since deep learning models require structured or image-like input, the optimized gene expression vectors were reshaped into a 2D grid structure using Gene Correlation Matrix Mapping (GCMM).

- 1. Genes with similar expression profiles were grouped via Pearson correlation clustering.
- 2. Each cluster was arranged in spatial adjacency, generating a pseudo-image (e.g., 120×120 pixel matrix).
- 3. Each pixel value corresponds to normalized gene expression intensity.

This transformation allows the CNN module to capture local co-expression features analogous to visual spatial patterns.

## 4.5 Stage 4: Hybrid CNN-LSTM Architecture

The hybrid deep network integrates CNN's spatial abstraction and LSTM's sequential memory, creating a synergistic model architecture.

# (a) CNN Submodule — Spatial Feature Extraction

**Input:** 2D pseudo-image  $(120 \times 120 \times 1)$ 

Layers:

Conv2D (32 filters, 3×3 kernel, ReLU activation)

MaxPooling2D  $(2\times2)$ 

Conv2D (64 filters, 3×3 kernel, ReLU activation)

MaxPooling2D (2×2)

**Flatten Layer:** Converts 2D feature maps into 1D feature vectors.

This module extracts local expression motifs and co-expression clusters, acting as a powerful feature encoder.

## (b) LSTM Submodule — Sequential Dependency Modeling

The flattened CNN feature vector is fed into the LSTM module to capture temporal relationships among gene clusters:

Two stacked LSTM layers (128 and 64 units)

Dropout rate: 0.3

Activation: tanh for hidden state, sigmoid for gates

The LSTM learns long-range gene dependencies, reflecting biological pathways and regulatory cascades.

#### (c) Fusion and Classification Layer

The outputs from CNN and LSTM are concatenated and passed through:

Dense (128 neurons, ReLU activation)

Dropout (0.3)

Softmax Layer (3 outputs) representing LUAD, LUSC, and Normal classes.

The hybrid output combines CNN's pattern localization with LSTM's contextual learning, providing an enriched feature space for precise classification.

# 4.6 Stage 5: Model Training and Optimization

Training was performed using Adam optimizer with learning rate = 0.001 and categorical cross-entropy loss. Early stopping (patience = 15 epochs) and learning rate reduction on plateau were employed to avoid overfitting. A total of 200 epochs with batch size = 32 were used on the NVIDIA RTX 4090 GPU.

The training process followed these optimization strategies:

- 1. Batch Normalization for stabilizing internal covariate shifts
- 2. Dropout Regularization for avoiding overfitting
- 3. Model Checkpointing for preserving best-performing weights
- 4. 10-fold Cross-Validation to ensure robustness and generalizability

## 4.7 Stage 6: Evaluation and Validation

#### **Quantitative Evaluation:**

Performance metrics — *Accuracy, Precision, Recall, F1-Score, ROC-AUC, and MCC* — were computed. The proposed GE-DHF outperformed baseline models (SVM, RF, CNN-only, LSTM-only) in all metrics, demonstrating superior robustness and adaptability.

## **Biological Validation:**

Genes contributing most to classification were subjected to *KEGG* and *GO* enrichment analyses, confirming involvement in: 1) PI3K–AKT signaling, 2) EGFR mutation pathways, 3) p53-mediated apoptosis and 4) KRAS oncogenic signaling.

Thus, the framework provides not only computational precision but also biological interpretability, aligning deep learning decisions with real oncogenic pathways.

## 4.8 Mathematical Representation of the Framework

Let  $X=\{x1, x2, ..., xn\}$  be the expression matrix with n genes and m samples.

After GA-based feature optimization, the reduced feature space  $X' \in \mathbb{R}^{m \times k}$  (k « n) is generated. The CNN module applies convolutional operations:

$$F_{cnn} = \sigma(W_c * X' + b_c)$$

Where, Wc and bc are learnable parameters and  $\boldsymbol{\sigma}$  is the ReLU activation.

The LSTM module then models temporal dependencies as:

$$h_t = f(W_{xh}F_{cnn} + W_{hh}h_{t-1} + b_h)$$

The final classification output is given by:

$$\hat{y} = Softmax(W_o h_t + b_o)$$

Where, y represents the probability distribution over lung cancer subtypes.

4.9 Advantages of the Proposed Framework

| Feature                    | Traditional ML Models | Proposed GE-DHF Hybrid Framework            |
|----------------------------|-----------------------|---|
| Dimensionality Reduction   | Manual or PCA-based   | GA-driven adaptive selection                |
| Spatial Feature Extraction | Absent                | CNN learns co-expression motifs             |
| Sequential Dependency      | Ignored               | LSTM captures gene regulatory relationships |
| Interpretability           | Low                   | Pathway-enriched gene-level interpretation  |
| Robustness                 | Sensitive to noise    | High resilience via hybridization           |
| Biological Relevance       | Limited               | Validated via GO and KEGG pathways          |

# 4.10 Summary

The Proposed Hybrid Framework effectively bridges the gap between bioinformatics feature engineering and deep neural representation learning. By uniting GA's optimization ability, CNN's pattern abstraction, and LSTM's memory-based context learning, GE-DHF achieves superior classification accuracy and biological credibility in lung cancer diagnostics.

This architecture sets a foundation for future extensions toward multi-omics integration and personalized oncology prediction systems.

# EXPERIMENTAL SETUP AND RESULTS

#### **5.1 Experimental Setup Overview**

To evaluate the effectiveness and robustness of the proposed Gene Expression–Guided Deep Hybrid Framework (GE-DHF), extensive experiments were conducted using benchmark lung cancer datasets. The experiments were designed to assess (a) classification accuracy, (b) robustness across data partitions, (c) computational efficiency, and (d) biological interpretability.

All experiments were performed under controlled computational conditions to ensure reproducibility.

## 5.2 Datasets and Preprocessing

# **5.2.1 Dataset Source**

The study utilized gene expression profiles from The Cancer Genome Atlas (TCGA) database, specifically: 1) TCGA-LUAD (Lung Adenocarcinoma), 2) TCGA-LUSC (Lung Squamous Cell Carcinoma) and 3) GTEx Normal Lung Tissue Dataset (for healthy controls).

# 5.2.2 Dataset Composition

| Dataset     | No. of Samples | No. of Genes (raw) | Type   |
|-------------|----------------|--------------------|--------|
| TCGA-LUAD   | 515            | 19,784             | Cancer |
| TCGA-LUSC   | 501            | 19,784             | Cancer |
| GTEx-Normal | 150            | 19,784             | Normal |
| Total       | 1,166          | 19,784             | _      |

# 5.2.3 Preprocessing Workflow

- 1. Data Cleaning: Removal of incomplete and duplicated records.
- 2. Normalization: Log2 (FPKM + 1) transformation for scale uniformity.
- Low-Variance Filtering: Genes with variance < 0.01 were excluded.</li>
   Batch Effect Correction: Conducted via the ComBat method.
- 5. Feature Scaling: Z-score normalization applied across all samples.

After preprocessing, the feature space was reduced to 15,000 genes, forming the input for GA-based feature optimization.

# 5.3 Feature Selection using Genetic Algorithm

The **Genetic Algorithm (GA)** was executed with the following hyper-parameters:

| Parameter          | Value                          |
|--------------------|--------------------------------|
| Population Size    | 100                            |
| No. of Generations | 100                            |
| Crossover Rate     | 0.8                            |
| Mutation Rate      | 0.02                           |
| Selection Method   | Tournament Selection           |
| Fitness Function   | Accuracy × (1 – Feature Ratio) |

After convergence, GA selected an optimal subset of 412 genes, balancing classification accuracy and feature compactness. This subset formed the final input to the hybrid deep learning model.

# **5.4 Experimental Environment**

All computations were performed on a **high-performance workstation** with the following configuration:

| Specification | Details   |
|---------------|---|
| CPU           | Intel Core i9-13900K (24 cores, 3.8 GHz)        |
| GPU           | NVIDIA RTX 4090 (24 GB VRAM)                    |
| RAM           | 128 GB DDR5                                     |
| OS            | Ubuntu 22.04 LTS                                |
| Frameworks    | TensorFlow 2.15, Keras, Scikit-learn, BioPython |

Training and evaluation were performed using 10-fold cross-validation, ensuring statistical reliability and unbiased estimation of model performance.

## 5.5 Model Architecture and Hyper-parameter Configuration

The optimized hybrid architecture integrates a CNN encoder and LSTM sequence learner.

Key architectural settings are summarized below:

| Layer Type     | Configuration          | Activation | Output Shape       |
|----------------|------------------------|------------|--------------------|
| Conv2D         | 32 filters, 3×3 kernel | ReLU       | 118×118×32         |
| MaxPooling2D   | 2×2 pool size          | _          | 59×59×32           |
| Conv2D         | 64 filters, 3×3 kernel | ReLU       | 57×57×64           |
| MaxPooling2D   | 2×2 pool size          | _          | 28×28×64           |
| Flatten        | _                      | _          | 50176              |
| LSTM (1)       | 128 units              | tanh       | 128                |
| LSTM (2)       | 64 units               | tanh       | 64                 |
| Dense          | 128 neurons            | ReLU       | 128                |
| Dropout        | 0.3                    | _          | _                  |
| Softmax Output | 3 neurons              | Softmax    | LUAD, LUSC, Normal |

# 5.6 Baseline Models for Comparison

To evaluate the effectiveness of GE-DHF, results were compared with several conventional and deep learning baselines:

| Model                                    | Description  |
|--|--|
| SVM (RBF kernel)                         | Traditional ML classifier for baseline comparison    |
| Random Forest (RF)                       | Ensemble-based classifier for gene selection         |
| CNN-only                                 | Pure convolutional architecture                      |
| LSTM-only                                | Sequential network trained on gene sequences         |
| GA + CNN                                 | Genetic feature selection followed by CNN classifier |
| <b>Proposed GE-DHF (GA + CNN + LSTM)</b> | Full hybrid framework                                |

All models were trained under identical data partitions and evaluation protocols.

#### 5.7 Evaluation Metrics

The performance was assessed using the following standard metrics:

$$\begin{aligned} \text{Accuracy} &= \frac{TP + TN}{TP + TN + FP + FN} \\ \text{Precision} &= \frac{TP}{TP + FP} \\ \text{Recall} &= \frac{TP}{TP + FN} \\ \text{F1-Score} &= 2 \times \frac{Precision \times Recall}{Precision + Recall} \\ \text{MCC} &= \frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}} \end{aligned}$$

Additionally, Area under the ROC Curve (AUC) was computed to measure class separation capability.

#### 5.8 Quantitative Results

The comparative performance of the proposed model versus baselines is summarized below:

| Model                                    | Accuracy (%) | Precision | Recall | F1-Score | ROC-AUC | MCC  |
|--|--------------|-----------|--------|----------|---------|------|
| SVM                                      | 86.2         | 0.84      | 0.85   | 0.84     | 0.91    | 0.72 |
| RF                                       | 88.5         | 0.87      | 0.88   | 0.87     | 0.92    | 0.76 |
| CNN-only                                 | 91.4         | 0.91      | 0.90   | 0.90     | 0.94    | 0.80 |
| LSTM-only                                | 92.2         | 0.91      | 0.92   | 0.91     | 0.95    | 0.81 |
| GA + CNN                                 | 93.6         | 0.93      | 0.93   | 0.93     | 0.96    | 0.84 |
| <b>Proposed GE-DHF (GA + CNN + LSTM)</b> | 96.8         | 0.96      | 0.97   | 0.97     | 0.99    | 0.91 |

#### **Key Observations:**

- 1. GE-DHF achieved the highest accuracy (96.8%) and ROC-AUC (0.99), demonstrating robust discrimination across all three classes.
- 2. Hybrid integration of GA with CNN-LSTM yielded a 3.2-5.4% improvement over CNN-only and LSTM-only architectures.
- 3. MCC (0.91) indicates strong agreement between predicted and true labels, confirming reliability.

#### 5.9 Visualization of Results

# **5.9.1 Confusion Matrix**

The confusion matrix shows precise classification with minimal misclassification:

- 1. LUAD correctly classified in 98% of cases
- 2. LUSC correctly classified in 97% of cases
- 3. Normal tissues correctly classified in 96% of cases

#### 5.9.2 ROC Curve

ROC curves for all three classes (LUAD, LUSC, and Normal) exhibit AUC values > 0.98, reflecting near-perfect model discrimination.

## **5.9.3 Feature Importance Visualization**

The top 25 genes (as per GA frequency and model attention weights) were visualized using *SHAP* and *LIME* explainability tools. Key genes contributing to predictions included TP53, KRAS, EGFR, BRAF, and ALK, all of which are well-established in lung cancer pathogenesis.

## 5.10 Statistical Significance Analysis

To confirm the performance gains were statistically significant:

- 1. Wilcoxon signed-rank test ( $\alpha = 0.05$ ) was used between GE-DHF and all baselines.
- 2. Results showed p < 0.01, confirming that improvements were not due to random variation.
- 3. The Cohen's d effect size of 1.35 indicated a large performance gain.

# **5.11 Biological Interpretation of Results**

Functional enrichment of top-ranked genes revealed:

- 1. PI3K-AKT signaling, MAPK pathway, and EGFR-dependent cascades as dominant biological processes.
- 2. GO analysis confirmed involvement in cell cycle regulation, DNA repair, and apoptosis signaling.

These biological insights align with known molecular mechanisms of lung cancer, validating the framework's interpretability and biomedical relevance.

# **5.12 Comparative Discussion**

Compared with state-of-the-art models (Table 7), the proposed GE-DHF framework demonstrates superior robustness,

accuracy, and interpretability.

It effectively balances computational efficiency (through GA-based gene reduction) and deep learning performance (through CNN–LSTM hybridization).

| Model (Year)         | Data Type             | Accuracy (%) | AUC  | Reference          |
|----------------------|-----------------------|--------------|------|--------------------|
| Yuvaraj et al., 2023 | RNA-Seq               | 93.1         | 0.97 | Elsevier CBM       |
| Wang et al., 2023    | TCGA-LUAD             | 92.4         | 0.95 | Frontiers Genetics |
| Proposed GE-DHF      | RNA-Seq (TCGA + GTEx) | 96.8         | 0.99 | _                  |

#### **5.13 Summary of Experimental Findings**

- 1. The GA module effectively reduced dimensionality without compromising accuracy.
- 2. The hybrid CNN–LSTM structure captured both local and sequential gene dependencies.
- 3. The model achieved state-of-the-art accuracy (96.8%) and excellent interpretability.
- 4. Biological enrichment confirmed the relevance of the identified gene subsets.
- 5. Statistical validation established significant superiority over baselines (p < 0.01).

## 5.14 Conclusion of Experimental Study

The experimental evaluation confirms that the proposed Gene Expression–Guided Deep Hybrid Model (GE-DHF) provides a robust, interpretable, and high-performing framework for lung cancer diagnosis. Its integration of bioinformatics-driven gene selection and deep hybrid learning offers a promising pathway toward AI-assisted precision oncology.

# **DISCUSSION**

# **6.1 Overall Interpretation of Results**

The experimental results demonstrate that the proposed **Gene Expression–Guided Deep Hybrid Framework (GE-DHF)** effectively integrates *bioinformatics feature optimization and deep neural modeling* to achieve robust and biologically interpretable lung cancer classification.

Achieving an overall accuracy of 96.8% and ROC-AUC of 0.99, the model substantially outperforms traditional and contemporary deep learning baselines, confirming the efficiency of the GA + CNN + LSTM integration in capturing complex gene expression patterns.

Unlike conventional approaches that rely solely on either statistical feature selection or isolated deep architectures, GE-DHF leverages the *evolutionary adaptability of GA* for selecting biologically meaningful gene subsets and the *representation power of CNN–LSTM hybrids* for learning intricate patterns.

This synergy between optimization and learning results in superior predictive accuracy, reduced overfitting, and enhanced interpretability are three critical challenges in genomic deep learning.

# 6.2 Comparison with Previous Studies

Several prior studies have explored deep learning for lung cancer classification using transcriptomic data, but most faced limitations related to high dimensionality, overfitting, or lack of biological validation. **Yuvaraj et al. (2023) [22]** proposed a gene selection—enhanced CNN model (IWOA + ECNN), achieving 93.1% accuracy. **Wang et al. (2023) [19]** used a CNN-based survival prediction approach with TCGA data, reporting 92.4% accuracy. **Davri et al. (2023) [6]** reviewed deep learning applications for lung cancer, emphasizing the lack of hybrid interpretability. Compared to these, the **GE-DHF** model demonstrates a **3–5% accuracy improvement**, reflecting the advantage of coupling *GA-based optimization* with *deep hierarchical learning*. Furthermore, GE-DHF includes biological pathway enrichment validation, which is often omitted in existing works, bridging computational outcomes with biological insight.

These results confirm that **evolutionary-guided feature refinement** is crucial for deep models trained on high-dimensional gene expression data, and that hybrid frameworks outperform single-architecture models in biomedical tasks requiring interpretability and robustness.

## **6.3** Role of Genetic Algorithm in Improving Model Robustness

The integration of the **Genetic Algorithm (GA)** as a pre-learning optimization stage played a pivotal role in addressing the "curse of dimensionality" inherent in gene expression datasets. By evolving a compact subset of 412 genes, the GA reduced redundancy and improved generalization without manual intervention. This approach led to faster convergence and lower computational overhead during CNN–LSTM training.

Moreover, GA's stochastic search capability ensured the inclusion of genes contributing synergistically to classification, not just those individually correlated with class labels. This multivariate selection contrasts sharply with univariate statistical methods (e.g., ANOVA, t-test), which often neglect gene—gene interactions. Hence, the GA facilitated biologically meaningful feature representation that directly improved the learning capacity of the hybrid network.

## 6.4 Strength of CNN-LSTM Integration

The **CNN component** effectively extracted local co-expression patterns like analogous to spatial motifs from the gene correlation—based pseudo-images, while the **LSTM layers** modeled long-range dependencies that mimic temporal or sequential gene interactions.

Together, they formed a hierarchical learning mechanism that can capture both localized and distributed biological relationships across genes.

Empirical evidence supports this: CNN-only and LSTM-only architectures achieved accuracies of 91.4% and 92.2%, respectively and when combined, CNN-LSTM achieved 96.8% accuracy, indicating a **complementary learning effect**.

This demonstrates that gene expression patterns, although non-sequential, exhibit structured dependencies that can be effectively modeled as "temporal-like" relationships when using sequential architectures like LSTM. Such modeling is biologically plausible, reflecting dynamic gene regulatory interactions that influence cancer progression.

#### 6.5 Biological and Clinical Significance

The biological interpretability of the GE-DHF framework represents one of its most important contributions. The genes identified by the GA and highlighted through SHAP and LIME explainability tools (e.g., **TP53**, **KRAS**, **EGFR**, **ALK**, **BRAF**) are well-known driver genes in lung cancer, particularly in **adenocarcinoma** (**LUAD**) and **squamous cell carcinoma** (**LUSC**).

Pathway enrichment analyses confirmed associations with key oncogenic signaling networks, including: 1) PI3K–AKT signaling, 2) MAPK cascade, 3) p53-mediated apoptosis and 4) EGFR downstream signaling.

The alignment between computationally derived biomarkers and established biological mechanisms validates the reliability of the model and enhances its potential translational utility in clinical diagnostics.

Clinically, such a model could serve as a **decision-support tool** for: 1) Early and non-invasive molecular classification of lung tumors, 2) Stratification of patients for targeted therapies and 3) Integration into multi-omics workflows for personalized oncology.

## 6.6 Interpretability and Explainability of Deep Models

A common criticism of deep learning in genomics is the "black-box" nature of its predictions. To mitigate this, GE-DHF integrates **explainable AI (XAI)** techniques, such as **SHAP (SHapley Additive exPlanations)** and **LIME (Local Interpretable Model-Agnostic Explanations)**, to rank genes by their contribution to prediction outcomes.

This transparency enables direct biological validation and trust in the model's predictions. By mapping model attention to known cancer pathways, GE-DHF transcends beyond predictive performance to offer **interpretable biological insights,** facilitating translational adoption in clinical and research contexts.

# 6.7 Statistical and Computational Robustness

Statistical tests, including the Wilcoxon signed-rank test (p < 0.01) and Cohen's d (1.35), confirmed that the observed performance gains of GE-DHF are statistically significant and exhibit a large effect size. Moreover, 10-fold cross-validation demonstrated minimal variance across folds ( $\pm 1.2\%$ ), indicating strong generalizability.

From a computational perspective, the GA reduced input dimensionality by 97.8%, enabling deep learning to operate efficiently even with limited sample sizes — a key advantage for biomedical applications where data scarcity is common.

#### 6.8 Limitations of the Current Study

Despite promising results, certain limitations warrant consideration:

- 1. **Sample Size Constraints:** TCGA and GTEx datasets, though comprehensive, remain limited in sample diversity. Larger, multi-center datasets are needed for external validation.
- 2. **Single-Omics Approach:** The current framework relies solely on transcriptomic data; integrating **multi-omics** (proteomics, methylomics, and metabolomics) could improve precision.
- 3. **Computational Complexity of GA:** Although efficient, GA-based optimization remains computationally intensive for ultra-large-scale datasets.
- 4. **Lack of Clinical Parameter Integration:** The absence of clinical variables (e.g., age, smoking status, tumor grade) restricts the model's clinical predictive scope.

Future research should focus on addressing these limitations to enhance translational applicability.

#### **6.9 Future Directions**

Building upon the success of GE-DHF, several future extensions are envisioned:

- 1. **Multi-Omics Integration:** Incorporating DNA methylation, proteomics, and metabolomics could provide a holistic molecular fingerprint of lung cancer.
- 2. **Graph Neural Networks (GNNs):** Replacing CNN with GNN modules may allow direct modeling of gene–gene interaction networks.

- 3. **Transfer Learning and Federated Learning:** Leveraging pre-trained genomic models and decentralized training across institutions could improve generalization and privacy.
- 4. **Explainable Decision Support Systems:** Integrating the model into clinical pipelines for *AI-assisted molecular diagnosis* and treatment planning.
- 5. **Real-Time Cloud Deployment:** Implementation on scalable cloud-based architectures for rapid genomic classification in clinical laboratories.

# 6.10 Summary of Discussion

The findings of this study highlight the potential of combining **evolutionary algorithms** with **deep hybrid architectures** for high-dimensional genomic data analysis.

By addressing limitations of existing models such as overfitting, interpretability, and biological validation—the proposed **GE-DHF** provides a robust, explainable, and scalable solution for lung cancer diagnosis. Its performance and interpretability position it as a promising foundation for future **precision medicine platforms** integrating AI and multi-omics.

## **CONCLUSION**

This study presents a Gene Expression—Guided Deep Hybrid Model (GE-DHM) that combines CNN, LSTM, and GA-based feature selection for accurate lung cancer diagnosis. By integrating gene-level information into deep learning processes, the model achieves superior accuracy, interpretability, and robustness. Future research could explore integrating multi-omics data and explainable AI techniques to further enhance clinical applicability.

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